

Exhibit

**Members Only**

Criteria for Sampling Customers for Trade Screening by Member Firms and Trading Condition Analysis Items

1. Customers Targeted for Trade Screening and Criteria for Sampling those Customers

For the customers that traded in the issues sampled according to the issue sampling criteria table below, member firms shall sample customers whose trades are to be screened according to the various customer sampling criteria added to the issue sampling criteria. Note, however, that member firms can omit sample issues according to the issue sampling criteria and that, for all the issues traded by the member firm, the member firm can sample customers whose trades are to be screened according to the customer sampling criteria.

	Issue Sampling Criteria	Customer Sampling Criteria
1	(1) Issues where the member firm’s participation rate (buying or selling) is 20% or more of the day’s trading turnover (referring to the turnover for trading in securities based on a trading session method based on regulations set by the stock exchange; the same applies henceforth)  (2) Issues where the fluctuation rate of the day’s closing price to the closing price on the previous day is 10% or more and where the member firm’s participation rate (purchases only if the day’s closing price is higher than the previous day’s closing price or sales only if the day’s closing price is less than the previous day’s closing price) is 10% or more of the day’s trading volume	Customers with a participation rate (buying or selling (*1)) of 10% or more of the daily trading volume of specific issues (referring to the turnover for trading in securities based on a trading session method based on regulations set by the stock exchange; the same applies henceforth)
2	Issues where the member firm had a participation rate (buying or selling (*3)) with a volume of 1% or more of the day’s trading volume in the period of the last 15 minutes of the afternoon session until afternoon session close on 3 successive trading days (morning session for a half-day holiday; the same applies henceforth)	Customers who had a participation rate (buying or selling (*3, *5)) of a certain volume or above (*4) or more of specific issues in the period of the last 15 minutes of the afternoon session until afternoon session close on 2 successive trading days (morning session for a half-day holiday)
3	Issues that the member firm bought and/or sold at the same time and/or at the same price	Customers that bought and/or sold specific issues at the same time and/or at the same price
4	Issues where the member firm canceled orders accounting for 5% or more of the day’s trading volume or changed to an inferior price	<ul style="list-style-type: none"> <li>- Customers that canceled buy (sell) orders for specific issues accounting for 5% or more of the day’s trading volume in one go in the interval from 3 minutes before the opening price until the opening price in the morning or afternoon session or that changed to inferior prices and sold (bought) them at the opening price</li> <li>- Customers that canceled buy (sell) orders for specific issues accounting</li> </ul>

	Issue Sampling Criteria	Customer Sampling Criteria
		for 5% or more of the day's trading volume in one go in a continuous session or that changed to inferior prices and sold (bought) them in the interval from 3 minutes before the cancellation or change until the cancellation or change.